



Designation: D3999/D3999M – 11^{ε1}

Standard Test Methods for the Determination of the Modulus and Damping Properties of Soils Using the Cyclic Triaxial Apparatus¹

This standard is issued under the fixed designation D3999/D3999M; the number immediately following the designation indicates the year of original adoption or, in the case of revision, the year of last revision. A number in parentheses indicates the year of last reapproval. A superscript epsilon (ϵ) indicates an editorial change since the last revision or reapproval.

^{ε1} NOTE—Designation was editorially corrected to match units information in October 2013.

1. Scope*

1.1 These test methods cover the determination of the modulus and damping properties of soils in either intact or reconstituted states by either load or stroke controlled cyclic triaxial techniques. The standard is focused on determining these properties for soils in hydrostatically consolidated, undrained conditions.

1.2 The cyclic triaxial properties of initially saturated or unsaturated soil specimens are evaluated relative to a number of factors including: strain level, density, number of cycles, material type, and effective stress.

1.3 These test methods are applicable to both fine-grained and coarse-grained soils as defined by the unified soil classification system or by Practice D2487. Test specimens may be intact or reconstituted by compaction in the laboratory.

1.4 Two test methods are provided for using a cyclic loader to determine the secant Young's modulus (E) and damping coefficient (D) for a soil specimen. The first test method (A) permits the determination of E and D using a constant load apparatus. The second test method (B) permits the determination of E and D using a constant stroke apparatus. The test methods are as follows:

1.4.1 *Test Method A*—This test method requires the application of a constant cyclic load to the test specimen. It is used for determining the secant Young's modulus and damping coefficient under a constant load condition.

1.4.2 *Test Method B*—This test method requires the application of a constant cyclic deformation to the test specimen. It is used for determining the secant Young's modulus and damping coefficient under a constant stroke condition.

1.5 The development of relationships to aid in interpreting and evaluating test results are left to the engineer or office requesting the test.

¹ These test methods are under the jurisdiction of ASTM Committee D18 on Soil and Rock and are the direct responsibility of Subcommittee D18.09 on Cyclic and Dynamic Properties of Soils.

Current edition approved Nov. 1, 2011. Published January 2012. Originally approved in 1991. Last previous edition approved in 2003 as D3999–91 (2003). DOI: 10.1520/D3999-11E01.

1.6 *Limitations*—There are certain limitations inherent in using cyclic triaxial tests to simulate the stress and strain conditions of a soil element in the field during an earthquake, with several summarized in the following sections. With due consideration for the factors affecting test results, carefully conducted cyclic triaxial tests can provide data on the cyclic behavior of soils with a degree of accuracy adequate for meaningful evaluations of modulus and damping coefficient below a shearing strain level of 0.5 %.

1.6.1 Nonuniform stress conditions within the test specimen are imposed by the specimen end platens.

1.6.2 A 90° change in the direction of the major principal stress occurs during the two halves of the loading cycle on isotropically confined specimens.

1.6.3 The maximum cyclic axial stress that can be applied to a saturated specimen is controlled by the stress conditions at the end of confining stress application and the pore-water pressures generated during undrained compression. For an isotropically confined specimen tested in cyclic compression, the maximum cyclic axial stress that can be applied to the specimen is equal to the effective confining pressure. Since cohesionless soils cannot resist tension, cyclic axial stresses greater than this value tend to lift the top platen from the soil specimen. Also, as the pore-water pressure increases during tests performed on isotropically confined specimens, the effective confining pressure is reduced, contributing to the tendency of the specimen to neck during the extension portion of the load cycle, invalidating test results beyond that point.

1.6.4 While it is advised that the best possible intact specimens be obtained for cyclic testing, it is sometimes necessary to reconstitute soil specimens. It has been shown that different methods of reconstituting specimens to the same density may result in significantly different cyclic behavior. Also, intact specimens will almost always be stronger and stiffer than reconstituted specimens of the same density.

1.6.5 The interaction between the specimen, membrane, and confining fluid has an influence on cyclic behavior. Membrane compliance effects cannot be readily accounted for in the test procedure or in interpretation of test results. Changes in

*A Summary of Changes section appears at the end of this standard

pore-water pressure can cause changes in membrane penetration in specimens of cohesionless soils. These changes can significantly influence the test results.

1.7 The values stated in either SI units or inch-pound units [presented in brackets] are to be regarded separately as standard. The values stated in each system may not be exact equivalents; therefore, each system shall be used independently of the other. Combining values from the two systems may result in non-conformance with the standard. Reporting of test results in units other than SI shall not be regarded as nonconformance with this test method.

1.8 All observed and calculated values shall conform to the guide for significant digits and rounding established in Practice **D6026**. The procedures in Practice **D6026** that are used to specify how data are collected, recorded, and calculated are regarded as the industry standard. In addition, they are representative of the significant digits that should generally be retained. The procedures do not consider material variation, purpose for obtaining the data, special purpose studies, or any considerations for the objectives of the user. Increasing or reducing the significant digits of reported data to be commensurate with these considerations is common practice. Consideration of the significant digits to be used in analysis methods for engineering design is beyond the scope of this standard.

1.8.1 The method used to specify how data are collected, calculated, or recorded in this standard is not directly related to the accuracy to which the data can be applied in design or other uses, or both. How one applies the results obtained using this standard is beyond its scope.

1.9 *This standard does not purport to address all of the safety concerns, if any, associated with its use. It is the responsibility of the user of this standard to establish appropriate safety, health, and environmental practices and determine the applicability of regulatory limitations prior to use.*

1.10 *This international standard was developed in accordance with internationally recognized principles on standardization established in the Decision on Principles for the Development of International Standards, Guides and Recommendations issued by the World Trade Organization Technical Barriers to Trade (TBT) Committee.*

2. Referenced Documents

2.1 ASTM Standards:²

D422 Test Method for Particle-Size Analysis of Soils (Withdrawn 2016)³

D653 Terminology Relating to Soil, Rock, and Contained Fluids

D854 Test Methods for Specific Gravity of Soil Solids by Water Pycnometer

D1587 Practice for Thin-Walled Tube Sampling of Fine-Grained Soils for Geotechnical Purposes

D2216 Test Methods for Laboratory Determination of Water (Moisture) Content of Soil and Rock by Mass

D2435 Test Methods for One-Dimensional Consolidation Properties of Soils Using Incremental Loading

D2487 Practice for Classification of Soils for Engineering Purposes (Unified Soil Classification System)

D2488 Practice for Description and Identification of Soils (Visual-Manual Procedures)

D3740 Practice for Minimum Requirements for Agencies Engaged in Testing and/or Inspection of Soil and Rock as Used in Engineering Design and Construction

D4220 Practices for Preserving and Transporting Soil Samples

D4318 Test Methods for Liquid Limit, Plastic Limit, and Plasticity Index of Soils

D4767 Test Method for Consolidated Undrained Triaxial Compression Test for Cohesive Soils

D6026 Practice for Using Significant Digits in Geotechnical Data

2.2 USBR Standard:⁴

USBR 5210 Practice for Preparing Compacted Soil Specimens for Laboratory Use

3. Terminology

3.1 Definitions:

3.1.1 The definitions of terms used in these test methods shall be in accordance with Terminology **D653**.

3.1.2 *back pressure*—a pressure applied to the specimen pore-water to cause air in the pore space to pass into solution in the pore-water, that is, to saturate the specimen.

3.2 Definitions of Terms Specific to This Standard:

3.2.1 *cycle duration*—the time interval between successive applications of a deviator stress.

3.2.2 *deviator stress* [FL^{-2}]*—the difference between the major and minor principal stresses in a triaxial test.*

3.2.3 *effective confining stress*—the confining pressure (the difference between the cell pressure and the pore-water pressure) prior to shearing the specimen.

3.2.4 *effective force, (F)*—the force transmitted through a soil or rock mass by intergranular pressures.

3.2.5 *hysteresis loop*—a trace of load versus deformation resulting from the application of one complete cycle of either a cyclic load or deformation. The area within the resulting loop is due to energy dissipated by the specimen and apparatus, see Fig. 1.

3.2.6 *load duration*—the time interval the specimen is subjected to a cyclic deviator stress.

4. Summary of Test Method

4.1 The cyclic triaxial test consists of imposing either a cyclic axial deviator stress of fixed magnitude (load control) or cyclic axial deformation (stroke control) on a cylindrical,

² For referenced ASTM standards, visit the ASTM website, www.astm.org, or contact ASTM Customer Service at service@astm.org. For *Annual Book of ASTM Standards* volume information, refer to the standard's Document Summary page on the ASTM website.

³ The last approved version of this historical standard is referenced on www.astm.org.

⁴ Available from U.S. Department of the Interior, Bureau of Reclamation, 1849 C St NW Washington, DC 20240, <http://www.doi.gov>.

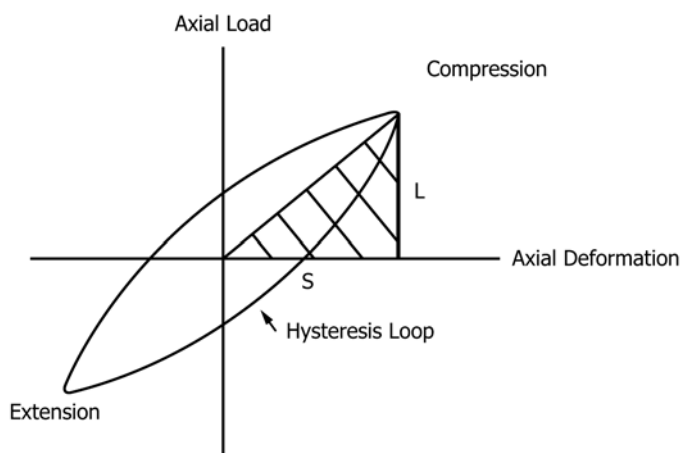


FIG. 1 Schematic of Typical Hysteresis Loop Generated by Cyclic Triaxial Apparatus

hydrostatically consolidated soil specimen in undrained conditions. The resulting axial strain and axial stress are measured and used to calculate either stress-dependent or stroke-dependent secant modulus and damping coefficient.

5. Significance and Use

5.1 The cyclic triaxial test permits determination of the secant modulus and damping coefficient for cyclic axial loading of a prismatic soil specimen in hydrostatically consolidated, undrained conditions. The secant modulus and damping coefficient from this test may be different from those obtained from a torsional shear type of test on the same material.

5.2 The secant modulus and damping coefficient are important parameters used in dynamic, performance evaluation of both natural and engineered structures under dynamic or cyclic loads such as caused by earthquakes, ocean wave, or blasts. These parameters can be used in dynamic response analyses including, finite elements, finite difference, and linear or non-linear analytical methods.

NOTE 1—The quality of the result produced by this standard is dependent on the competence of the personnel performing it, and the suitability of the equipment and facilities used. Agencies that meet the criteria of Practice D3740 are generally considered capable of competent and objective testing/sampling/inspection/etc. Users of this standard are cautioned that compliance with Practice D3740 does not in itself assure reliable results. Reliable results depend on many factors; Practice D3740 provides a means of evaluating some of those factors.

6. Apparatus

6.1 *General*—In many ways, triaxial equipment suitable for cyclic triaxial tests is similar to equipment used for the consolidated-undrained triaxial compression test (see Test Method D4767). However, there are special features described in the following sections that are required to perform acceptable cyclic triaxial tests. A schematic representation of the various components comprising a cyclic triaxial test setup is shown in Fig. 2.

6.2 Cyclic Loading Equipment:

6.2.1 Cyclic loading equipment used for load controlled cyclic triaxial tests must be capable of applying a uniform sinusoidal load at a frequency within the range of 0.1 to 2 Hz.

6.2.2 The equipment must be able to apply the cyclic load about an initial static load on the loading piston.

6.2.3 The loading device must be able to maintain uniform cyclic loadings to at least 0.5 % of the double amplitude stress,

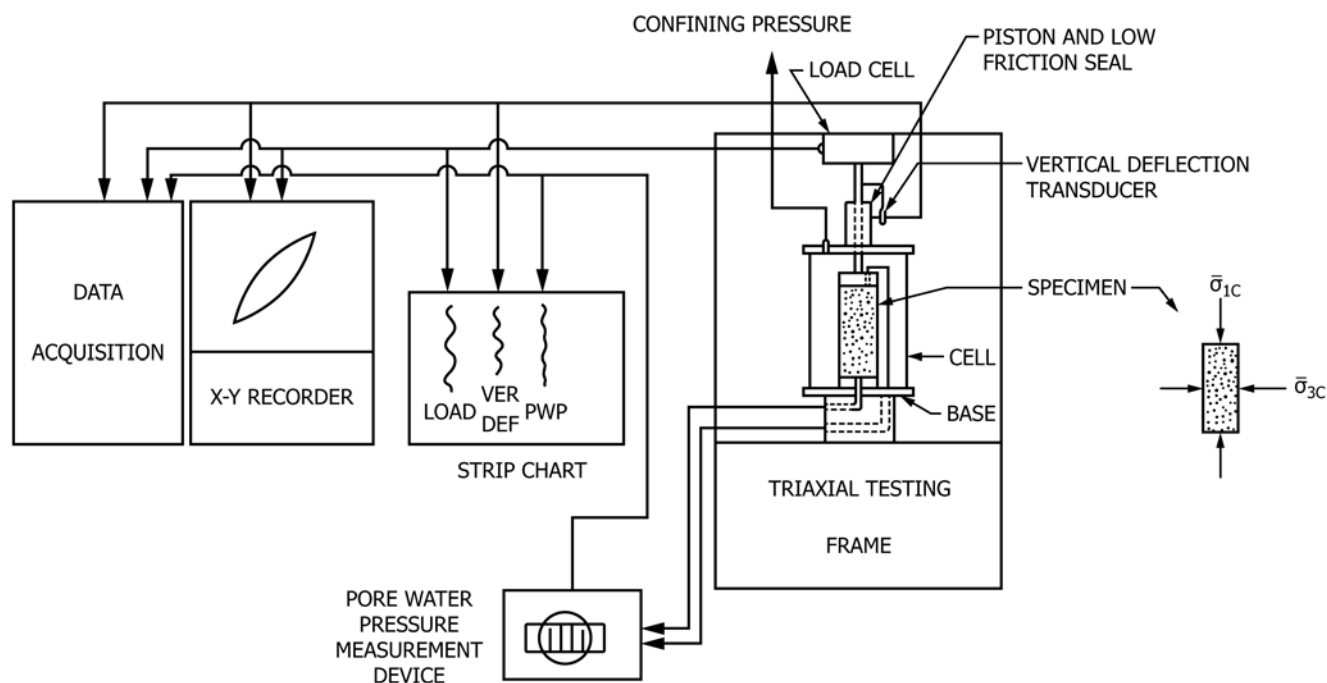


FIG. 2 Schematic Representation of Load or Stroke-Controlled Cyclic Triaxial Test Setup

as defined in Fig. 3. The loading pattern used in this standard shall be harmonic, as shown in Fig. 4(a). Unacceptable loading patterns, such as unsymmetrical compression-extension load peaks, nonuniformity of pulse duration, “ringing,” or load fall-off at large strains are illustrated in Fig. 4(b) to Fig. 4(f). The loading pattern shall be compared to the tolerances shown in Fig. 4 to evaluate if it is acceptable for use in this standard.

6.2.4 Cyclic loading equipment used for deformation-controlled cyclic triaxial tests must be capable of applying a uniform sinusoidal deformation at a frequency range of 0.1 to 2 Hz. The equipment must also be able to apply the cyclic deformation about either an initial datum point or follow the specimen as it deforms. The type of apparatus typically employed can range from a simple cam to a closed loop electro-hydraulic system.

6.3 *Triaxial Pressure Cell*—The primary considerations in selecting the cell are tolerances for the piston, top platen, and low friction piston seal, as summarized in Fig. 5.

6.3.1 Two linear ball bushings or similar bearings should be used to guide the loading piston to minimize friction and to maintain alignment.

6.3.2 The loading piston diameter should be large enough to minimize lateral bending. A minimum loading piston diameter of $\frac{1}{8}$ the specimen diameter has been used successfully in many laboratories.

6.3.3 The loading piston seal is a critical element in triaxial cell design for cyclic soils testing if an external load cell connected to the loading rod is employed. The seal must exert negligible friction on the loading piston. The maximum acceptable piston friction tolerable without applying load corrections is commonly considered to be $\pm 2\%$ of the maximum single amplitude cyclic load applied in the test, refer to Fig. 3. The use

of a seal described in 6.4.8 and by Ladd and Dutko,⁵ and Chan⁶ will meet these requirements.

6.3.4 Top and bottom platen alignment is critical to avoid increasing a nonuniform state of stress in the specimen. Internal tie-rod triaxial cells have worked well at a number of laboratories. These cells allow the placement of the cell wall after the specimen is in place between the loading platens. Acceptable limits on platen eccentricity and parallelism are shown in Fig. 6.

6.3.5 Since axial loading in cyclic triaxial tests is in extension as well as in compression, the loading piston shall be rigidly connected to the top platen by a method such as one of those shown in Fig. 7.

6.3.6 There shall be provision for specimen drainage at both the top and bottom platens for saturation and consolidation of the specimen before cyclic loading.

6.4 System Compliance:

6.4.1 *System*—The compliance of the loading system, consisting of all parts (top platen, bottom platen, porous stones, connections) between where the specimen deformation shall be determined. This determination shall be under both tension and compressional loading.

6.4.2 Insert a dummy cylindrical specimen of a similar size and length to that being tested into the location normally occupied by the specimen. The secant Young's modulus of the dummy specimen should be a minimum of ten times the secant modulus of the materials being tested. The ends of the dummy specimen should be flat and meet the tolerances for parallelism as shown in Fig. 6(b). Typical materials used to make dummy specimens are aluminum and steel. The dummy specimen should be rigidly attached to the loading system. This is typically accomplished by cementing the dummy specimen to the porous stones using either epoxy or hydro-cement or their equivalent. Allow cement to thoroughly dry before testing.

6.4.3 Typical top platen connections that have been employed are shown in Fig. 7. The purpose of the connection is to provide a rigid fastening that is easy to assemble. The hard lock systems (see Fig. 7(a)) are necessary for testing stiff materials but require the ability to tighten the nut with a wrench. If it is not possible to employ a wrench or if testing relatively soft materials, then either a magnetic system (see Fig. 7(b)) or vacuum system (see Fig. 7(c)) can be used.

6.4.4 Apply a static load in both tension and compression to the dummy specimen in increments up to two times the expected testing load and note the resulting deformation.

6.4.5 Use the maximum system deformation that occurs at any one load whether in tension or compression.

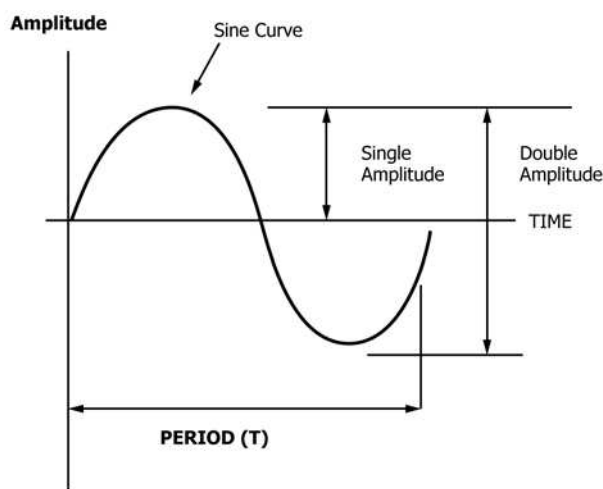


FIG. 3 Definitions Related to Cyclic Loading
(Frequency = $1/\text{PERIOD} = 1/T$)

⁵ Ladd, R. S., and Dutko, P., “Small Strain Measurements Using Triaxial Apparatus,” *Advances In The Art of Testing Soils Under Cyclic Conditions*, V. Khosla, ed., American Society of Civil Engineers, 1985.

⁶ Chan, C. K., “Low Friction Seal System” *Journal of the Geotechnical Engineering Division*, American Society of Civil Engineers, Vol 101, GT-9, 1975, pp. 991-995.

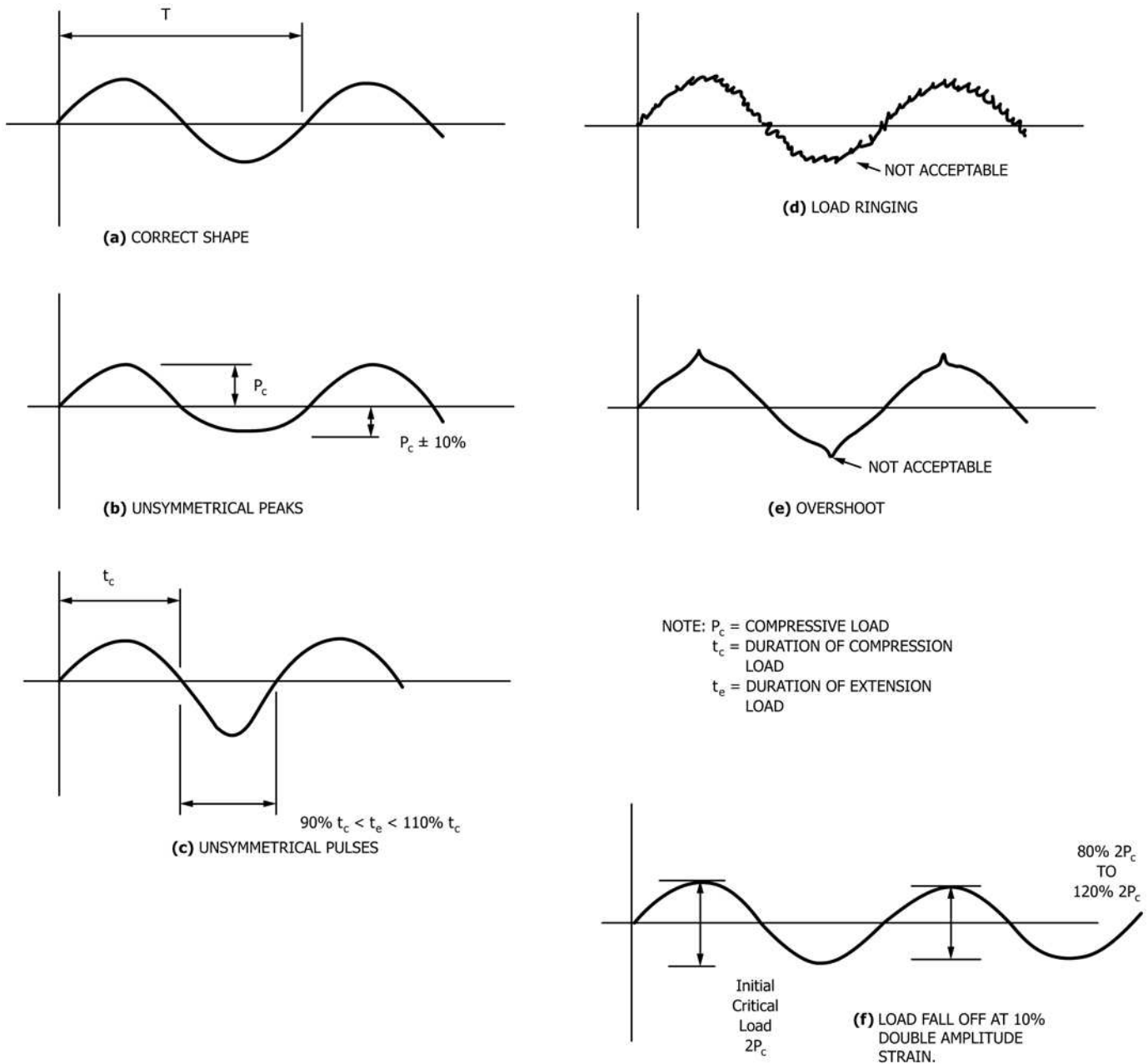


FIG. 4 Examples of Acceptable and Unacceptable Sinusoidal Loading Wave Forms For Cyclic Triaxial Load Control Tests

6.4.6 For any given loading whether in tension or compression, the minimum deformation that can be monitored and reported during an actual test is ten times the corresponding system deformation, see **Note 2**.

NOTE 2—Example calculation of system measurement compliance. A system deformation of 0.0001 mm is measured at a given load (either tension or compression) then the minimum system measuring compliance for a given load is ten times greater (0.0001 mm \times 10 = 0.001 mm). Therefore if the actual specimen being tested has a height of 127 mm [5.0 in.], then the corresponding minimum axial strain (ϵ_a) that can be measured and reported with this system is the following:

$$\epsilon_a = \frac{0.001 \text{ mm}}{127 \text{ mm}} \times 100 \% = 7.9 \times 10^{-4} \%$$

6.4.7 *Compliance Between Specimen Cap and Specimen*—Compliance can be reduced by the following methods: achieving the final desired height of reconstituted specimens by tapping and rotating the specimen cap on top of the specimen, or for both reconstituted and intact specimens, fill voids between the cap and specimen with plaster of Paris, or similar porous material (refer to 7.3.3).

6.4.8 Two typical piston sealing arrangements employed in cyclic triaxial apparatus are shown in **Fig. 8**. Such arrangements are necessary if external load measurement devices are used. The linear bearing/O-ring seal is the most common, see

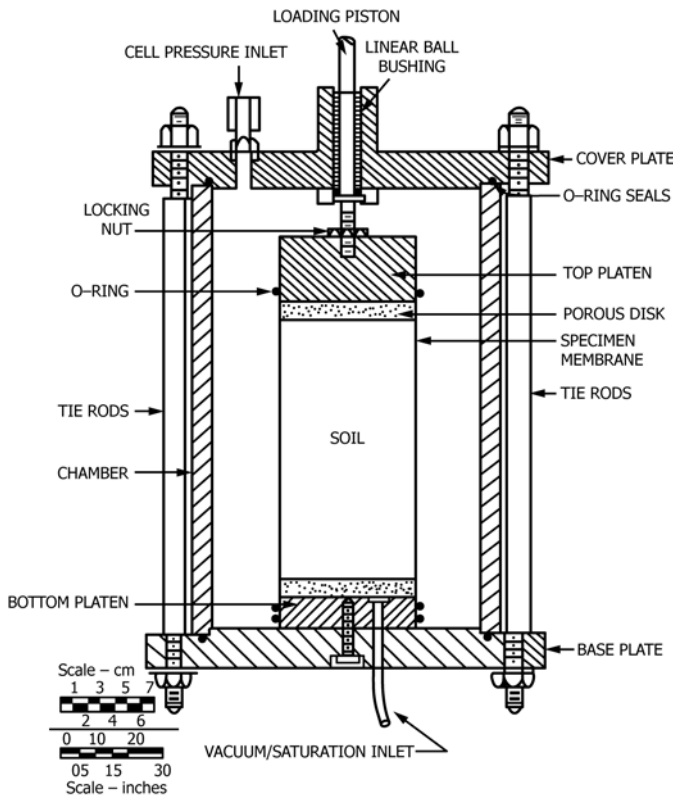


FIG. 5 Typical Cyclic Triaxial Pressure Cell

Fig. 8. The primary difficulty with this seal is friction developed between the O-ring and the surface of the load piston. To reduce this friction two methods can be employed. These methods are over sizing the O-ring, and freezing the O-ring with electronic Freon spray then thawing out and chroming the load piston. The air bearing seal arrangement shown in Fig. 8 produces the minimum friction on the load piston. The primary difficulty with this seal is the maintenance of the close tolerance between the slides and the load piston. Accumulation of dirt or salt tends to either block this zone or increase friction. Cleanliness is absolutely necessary for operation of this seal.

6.4.9 Triaxial cell designs to achieve requirements of platen alignment and reduce compliance are shown in Fig. 9.

6.4.10 The implication of poor system compliance on test results is illustrated in the hypothetical normalized secant modulus versus strain magnitude results shown in Fig. 10. Fig. 10 indicates that as the compliance increases in the cyclic triaxial test system the greater the deviation from the modulus values from a smaller strain test such as the resonant column test.

6.5 Recording Equipment:

6.5.1 Load, displacement, and pore water pressure transducers are required to monitor specimen behavior during cyclic loading; provisions for monitoring the chamber pressure during cyclic loading are optional.

6.5.2 Load Measurement—Generally, the load cell capacity should be no greater than five times the total maximum load applied to the test specimen to ensure that the necessary measurement accuracy is achieved. The minimum performance characteristics of the load cell are presented in Table 1.

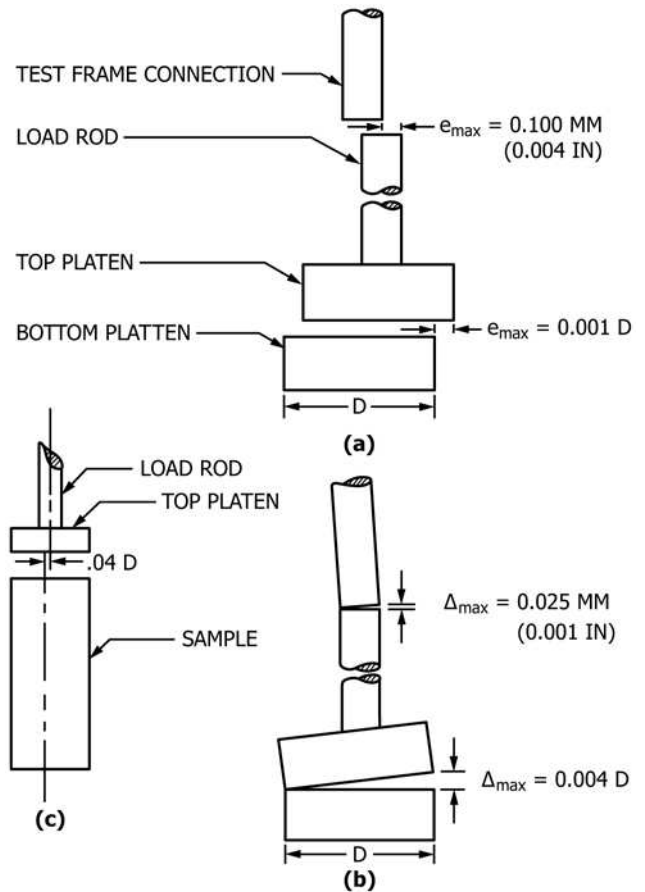


FIG. 6 Limits on Acceptable Platen and Loading Piston Alignment: (a) Eccentricity, (b) Parallelism, (c) Eccentricity between Top Platen and Specimen

6.5.3 Axial Deformation Measurement—Displacement measuring devices such as linear variable differential transformer (LVDT), Potentiometer-type deformation transducers, and eddy current sensors may be used if they meet the required performance criteria (see Table 1). Accurate deformation measurements require that the transducer be properly mounted to avoid excessive mechanical system compression between the load frame, the triaxial cell, the load cell, and the loading piston.

6.5.4 Pressure- and Vacuum-Control Devices—The chamber pressure and back pressure control devices shall be capable of applying and controlling pressures to within ± 14 kPa [2 psi] for effective consolidation pressures. The vacuum control device shall be capable of applying and controlling partial vacuums to within ± 14 kPa [2 psi]. The devices may consist of self-compensating mercury pots, pneumatic pressure regulators, combination pneumatic pressure and vacuum regulators, or any other device capable of applying and controlling pressures or partial vacuums to the required tolerances.

6.5.5 Pressure- and Vacuum-Measurement Devices—The chamber pressure, back pressure, and vacuum measuring devices shall be capable of measuring pressures or partial vacuums to the tolerances given in Table 1. They may consist of Bourdon gages, pressure manometers, electronic pressure

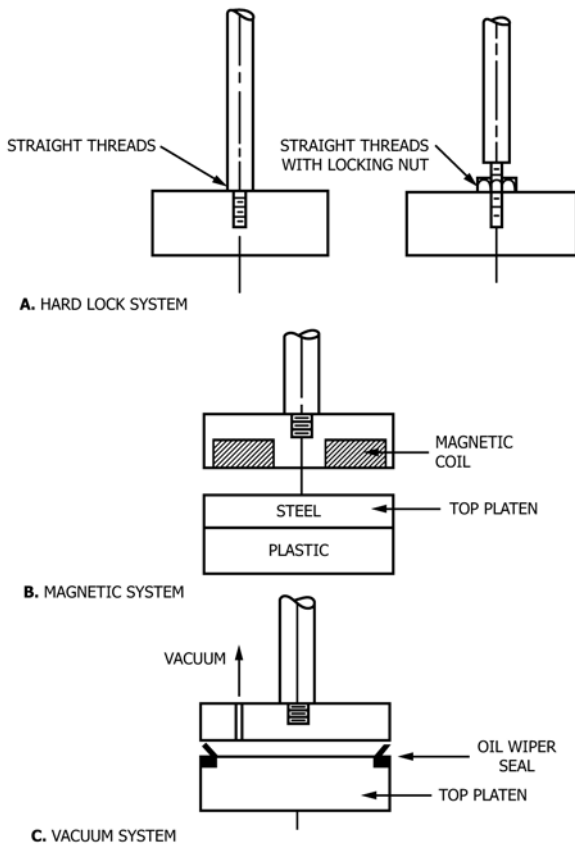


FIG. 7 Typical Top Platen Connections

transducers, or any other device capable of measuring pressures, or partial vacuums to the stated tolerances. If separate devices are used to measure the chamber pressure and back pressure, the devices must be calibrated simultaneously and against the same pressure source. Since the chamber pressure and back pressure are the pressures taken at the mid-height of the specimen, it may be necessary to adjust the calibration of the devices to reflect the hydraulic head of fluid in the chamber and back pressure control systems (see Fig. 2).

6.5.6 Pore-Water Pressure Measurement Device—The specimen pore-water pressure shall also be measured to the tolerances given in Table 1. During cyclic loading on a saturated specimen the pore-water pressure shall be measured in such a manner that as little water as possible is allowed to go into or out of the specimen. To achieve this requirement a very stiff electronic pressure transducer must be used. With an electronic pressure transducer the pore-water pressure is read directly. The measuring device shall have a rigidity of all the assembled parts of the pore-water pressure measurement system relative to the total volume of the specimen satisfying the following requirement:

$$\frac{(\Delta V/V)}{\Delta u} < 3.2 \times 10^{-6} \text{ m}^2/\text{kN} \quad (2.2 \times 10^{-5} \text{ in.}^2/\text{lb}) \quad (1)$$

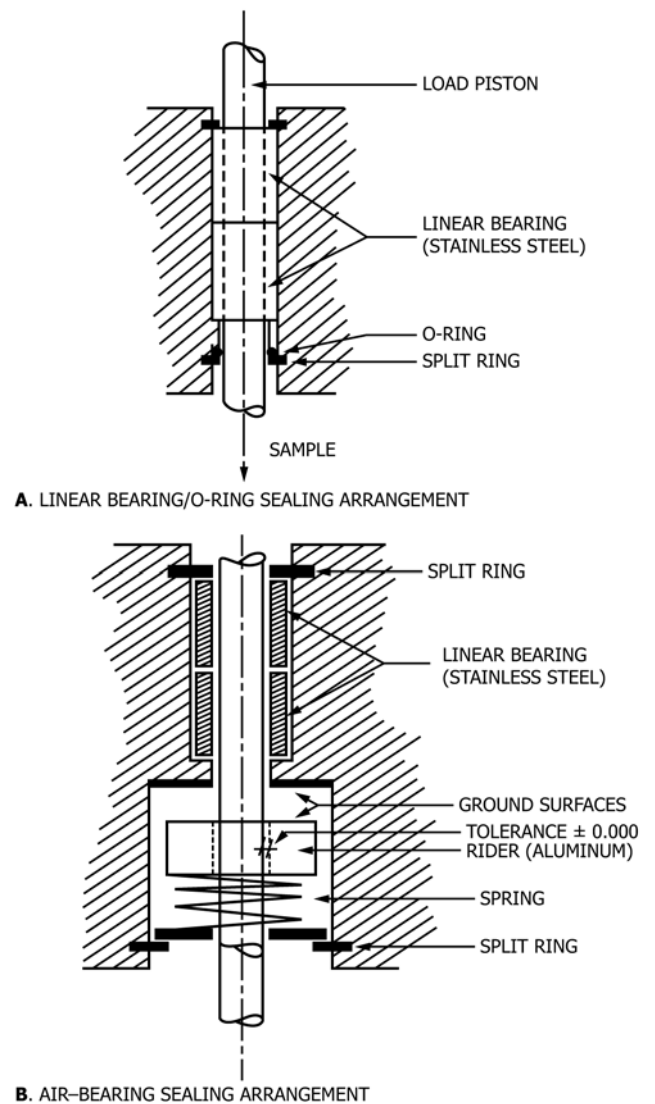


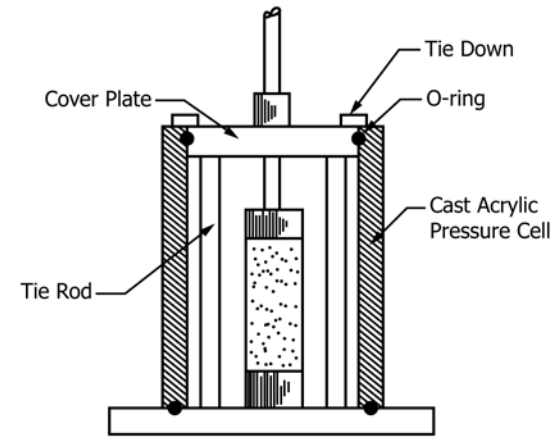
FIG. 8 Typical Cyclic Triaxial Piston Sealing Arrangements

where:

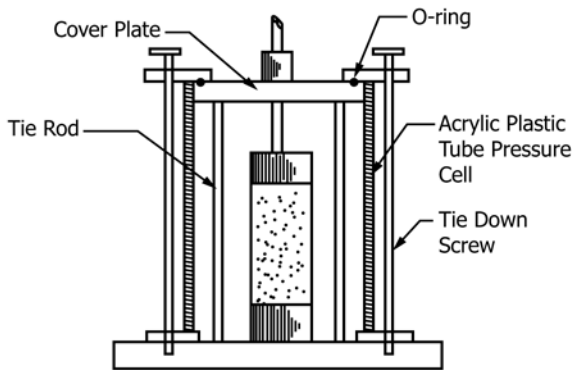
ΔV = change in volume of the pore-water measurement system due to a pore pressure change, mm^3 [in.^3],
 V = the total volume of the specimen, mm^3 [in.^3], and
 Δu = the change in pore pressure, kPa [psi].

NOTE 3—To meet the rigidity requirement, tubing between the specimen and the measuring device should be short and thick walled with small bores. Thermoplastic, copper, and stainless steel tubing have been used successfully in many laboratories.

6.5.7 Volume Change Measurement Device—The volume of water entering or leaving the specimen shall be measured with an accuracy of within $\pm 0.05\%$ of the total volume of the specimen. The volume measuring device is usually a burette but may be any other device meeting the accuracy requirement. The device must be able to withstand the maximum chamber pressure.



(a) External Pressure Cell Configuration



(b) Integral Pressure Cell Configuration

FIG. 9 Typical Design Variations in Aligned Triaxial Pressure Cells

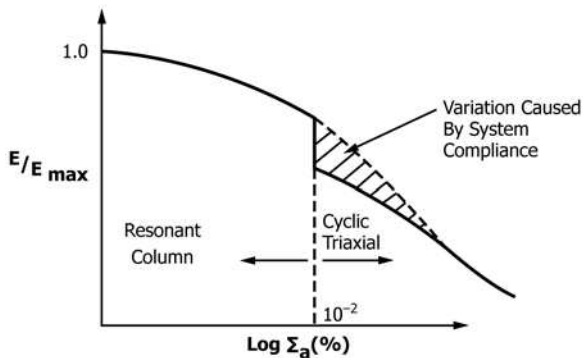


FIG. 10 Impact of System Compliance on Modulus versus Strain Curves

6.6 Specimen Cap and Base—The specimen cap and base shall be designed to provide drainage from both ends of the specimen. They shall be constructed of a rigid, noncorrosive, impermeable material, and each shall, except for the drainage provision, have a circular plane surface of contact with the porous discs and a circular cross section. The weight of the specimen cap and top porous disc shall be less than 0.5 % of the applied axial load at failure as determined from an undrained static triaxial test. The diameter of the cap and base shall be equal to the initial diameter of the specimen. The

TABLE 1 Data Acquisition, Minimum Response Characteristics for Cyclic Triaxial Strength Tests

1. Analog Recorders			
Recording speeds: 0.5 to 50 cm/s [0.2 to 20 in./s]			
System accuracy (including linearity and hysteresis): 0.5 % ⁴			
Frequency response: 100 Hz			
2. Digital Recorders			
Minimum Sampling Rate: 40 data points per cycle			
3. Measurement Transducers			
	Load Cell	Displacement Transducer (LVDT) ⁵	Pore Pressure
Minimum sensitivity, mv/v	2	0.2 mv/0.025 mm/v (AC LVDT) 5 MV/0.025 MM/V (DC LVDT)	2
Nonlinearity, % full scale	±0.25	±0.25	±0.5
Hysteresis, % full scale	±0.25	0.0	±0.5
Repeatability, % full scale	±0.10	±0.01	±0.5
Thermal effects on zero shift or sensitivity	±0.005	...	±0.02
% of full scale/°C [°F]	[±0.025]	...	[±0.01]
Maximum deflection at full rated value in mm [in.]	0.125 [0.005]
Volume change characteristics mm ³ /kPa [in ³ /psi]	0.24 [1.0 × 10 ⁻⁴]

⁴ System frequency response, sensitivity, and linearity are functions of the electronic system interfacing, the performance of the signal conditioning system used, and other factors. It is therefore a necessity to check and calibrate the above parameters as a total system and not on a component basis.

⁵ LVDT's, unlike strain gages, cannot be supplied with meaningful calibration data. System sensitivity is a function of excitation frequency, cable loading, amplifier phase characteristics, and other factors. It is necessary to calibrate each LVDT-cable-instrument system after installation, using a known input standard.

specimen base shall be connected to the triaxial compression chamber to prevent lateral motion or tilting, and the specimen cap shall be designed such that eccentricity of the piston-to-cap contact relative to the vertical axis of the specimen does not exceed 0.04 D (D = diameter of specimen) as shown in Fig. 6(c). The cylindrical surface of the specimen base and cap that contacts the membrane to form a seal shall be smooth and free of scratches.

6.7 Porous Discs—The specimen shall be separated from the specimen cap and base by rigid porous discs embedded into or fastened onto the specimen cap and base of a diameter equal to that of the specimen using epoxy (carefully avoiding the area around the drainage conduits of the platen) or screws in the case of sintered metal porous discs. The coefficient of permeability of the discs shall be approximately equal to that of fine sand 1×10^{-3} mm/s [3.9×10^{-5} in./s]. The discs shall be regularly checked by passing air or water under pressure through them to determine whether they have become clogged. Care must be taken to ensure that the porous elements of the end platens are open sufficiently so as not to impede drainage or pore water movement from specimen into the volume change or pore pressure measuring devices, and with openings sufficiently fine to prevent movement of fines out of the specimen.

6.8 Filter Papers—When determining moduli values of stiff specimens, filter-paper discs of a diameter equal to that of the specimen may not be placed between the porous discs and specimen to minimize clogging of the porous discs to avoid

inclusion of a soft layer into the system. Filter papers may be used as long as they do not cause the system to go out of compliance (Note 2).

6.9 Filter-Paper Strips—Filter-paper strips are used by many laboratories to decrease the time required for testing. If filter strips are used, they shall be of a type that does not dissolve in water. The coefficient or permeability of the filter paper shall not be less than 1×10^{-4} mm/s [3.9×10^{-6} in./s] for a normal pressure of 550 kPa [80 psi]. To avoid hoop tension, filter strips should cover no more than 50 % of the specimen periphery.

6.10 Rubber Membrane—The rubber membrane used to encase the specimen shall provide reliable protection against leakage. To check a membrane for leakage, the membrane shall be placed around a cylindrical form, sealed at both ends with rubber O-rings, subjected to a small air pressure on the inside, and immersed in water. If air bubbles appear from any point on the membrane it shall be rejected. To offer minimum restraint to the specimen, the unstretched membrane diameter shall be between 90 and 95 % of that of the specimen. The membrane thickness shall not exceed 1 % of the diameter of the specimen. The membrane shall be sealed to the specimen cap and base with rubber O-rings for which the unstressed inside diameter is between 75 and 85 % of the diameter of the cap and base, or by other means that will provide a positive seal.

6.11 Valves—Changes in volume due to opening and closing valves may result in inaccurate volume change and pore-water pressure measurements. For this reason, valves in the specimen drainage system shall be of the type that produces minimum volume changes due to their operation. A valve may be assumed to produce minimum volume change if opening or closing the valve in a closed, saturated pore-water pressure system does not induce a pressure change of greater than 0.7 kPa [0.1 psi]. All valves must be capable of withstanding applied pressures without leakage.

NOTE 4—Ball valves have been found to provide minimum volume-change characteristics; however, any other type of valve having the required volume-change characteristics may be used.

6.12 Specimen-Size Measurement Devices—Devices used to determine the height and diameter of the specimen shall measure the respective dimensions to within 0.1 % of the total dimension and shall be constructed such that their use will not disturb the specimen.

NOTE 5—Circumferential measuring tapes are recommended over calipers for measuring the diameter. Measure height with a dial gage mounted on a stand.

6.13 Sample Extruder—If an extruder is used to remove a tube sample from the sampling tube, the sample extruder shall be capable of extruding the soil core from the sampling tube at a uniform rate in the same direction of travel as the sample entered the tube and with minimum disturbance of the specimen, see 7.3.2. If the soil core is not extruded vertically, care should be taken to avoid bending stresses on the core due to gravity. Conditions at the time of specimen removal may dictate the direction of removal, but the principal concern is to minimize the degree of disturbance.

6.14 Timer—A timing device indicating the elapsed testing time to the nearest 1 s shall be used to obtain consolidation data (see 9.4.3).

6.15 Weighing Device—The specimen weighing device shall determine the mass of the specimen to an accuracy of within ± 0.05 % of the total mass of the specimen.

6.16 Water Deaeration Device—The amount of dissolved gas (air) in the water used to saturate the specimen may be decreased by boiling, by heating and spraying into a vacuum, cavitation process under a vacuum, or by any other method that will satisfy the requirement for saturating the specimen within the limits imposed by the available maximum back pressure and time to perform the test.

6.17 Testing Environment—The consolidation and cyclic loading portion of the test shall be performed in an environment where temperature fluctuations are less than $\pm 4^\circ\text{C}$ [$\pm 7.2^\circ\text{F}$] and there is no direct contact with sunlight.

6.18 Miscellaneous Apparatus—Specimen trimming and carving tools including a wire saw, steel straightedge, miter box and vertical trimming lathe, apparatus for preparing compacted specimens, membrane and O-ring expander, water content cans, and data sheets shall be provided as required.

6.19 Recorders—Specimen behavior may be recorded either by electronic digital or analog x-y recorders. It shall be necessary to calibrate the measuring device through the recorder using known input standards.

6.20 Pressurizing/Flushing Panel—A system for pressurizing the pressure cell and specimen is required. A typical piping system for this apparatus is presented in Fig. 11.

6.21 Pore Water—Unless otherwise specified by the user, tap water shall be used as the pore water in all tests.

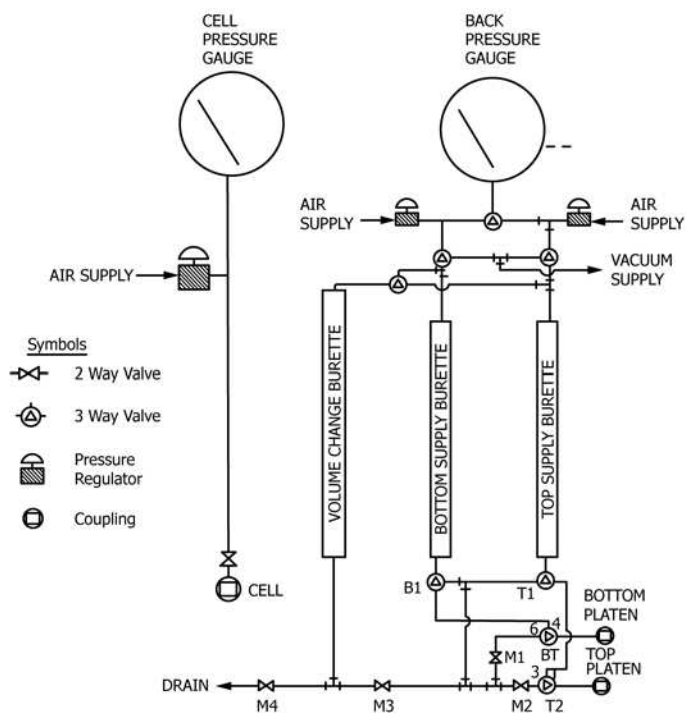


FIG. 11 Pressurizing/Flushing Panel Piping Diagram

7. Test Specimen Preparation

7.1 Specimens shall be cylindrical and have a minimum diameter of 36 mm [1.4 in.]. The height-to-diameter ratio shall be between 2 and 2.5. The largest particle size shall be smaller than $\frac{1}{6}$ the specimen diameter. If, after completion of the test, it is found, based on visual observation, that oversize particles are present, indicate this information in the report of test data under remarks.

NOTE 6—If oversize particles are found in the specimen after testing, a particle-size analysis performed in accordance with Test Method D422 may be performed to confirm the visual observation and the results provided with the test report (see 12.1.4).

7.2 Take special care in sampling and transporting samples to be used for cyclic triaxial tests as the quality of the results diminishes greatly with specimen disturbance. Practice D1587 covers procedures and apparatus that may be used to obtain satisfactory intact specimens for testing.

NOTE 7—Information on preserving and transporting soil samples can be found in Practices D4220.

7.3 Intact Specimens:

7.3.1 Intact specimens may be trimmed for testing in any manner that minimizes specimen disturbance, maintains the sampled density of the specimen, and maintains the initial water content. No matter what trimming method is used, the specimen ends should meet or exceed the flatness and parallelism requirement shown in Fig. 6. A procedure that has been shown to achieve these criteria for frozen specimens is as follows:

NOTE 8—If possible, prepare carved specimens in a humidity controlled room. If specimens are not prepared in a humidity-controlled room, this should be noted in the report of test data under remarks. Make every effort to prevent any change in the moisture content of the soil.

7.3.1.1 If a milling machine is available, the sample tube may be cut lengthwise at two diametrically opposite places using a rapid feed, and then cut into sections with an electric hacksaw. If a milling machine is not used, the desired section is cut with an electric hacksaw or a tube cutter with stiffening collars. The cut ends of the tube are then cleaned of burrs, and the specimen is pushed from the tube. The ends of the specimen should be trimmed smooth and perpendicular to the length using a mitre box. Care must be taken to ensure that the specimen remains frozen during the trimming operation. Place the specimen in the triaxial chamber and enclose it in a rubber membrane. Apply a partial vacuum of 35 kPa [5 psi] to the specimen and measure the specimen diameter and height according to the method given in 9.2 in order to calculate the initial volume of the specimen. After the specimen has thawed, remeasure the specimen to determine specimen conditions immediately prior to saturation. Volume change during thawing indicates that inadequate sampling or specimen preparation techniques may have been used.

7.3.2 If compression or any type of noticeable disturbance would be caused by the ejection device, split the sample tube lengthwise or cut it off in small sections to facilitate removal of the specimen with minimum disturbance.

7.3.3 Specimens shall be of uniform circular cross section with ends perpendicular to the axis of the specimen. Where

pebbles or crumbling result in excessive irregularity at the ends, pack soil from the trimmings in the irregularities to produce the desired surface. An alternative procedure would be to cap the specimens with a minimum thickness of plaster of Paris, hydrostone, or similar material. In this case provisions for specimen drainage would have to be provided by holes in the cap. When specimen conditions permit, a vertical soil lathe that will accommodate the total specimen may be used as an aide in carving the specimen to the required diameter.

7.4 Reconstituted Specimens:

7.4.1 *Fluviation Through Water Method*—For this specimen preparation method a granular soil is saturated initially in a container, poured through water into a water-filled membrane placed on a forming mold, and then densified to the required density by vibration, refer to reference by Chaney and Mulilis.⁷

NOTE 9—A specimen may be vibrated either on the side of the mold or the base of the cell using a variety of apparatus. These include the following: tapping with an implement of some type such as a spoon or metal rod, pneumatic vibrator, or electric engraving tool.

7.4.2 *Dry Screening Method*—For this method a tube with a screen attached to one end is placed inside a membrane stretched over a forming mold. A dry uniform sand is then poured into the tube. The tube is then slowly withdrawn from this membrane/mold allowing the sand to pass through the screen forming a specimen. If a greater density of the sand is desired the mold may be vibrated.

7.4.3 *Dry or Moist Vibration Method*—In this procedure compact oven-dried, or moist granular material in layers (typically six to seven layers) in a membrane-lined split mold attached to the bottom platen of the triaxial cell. Compact the preweighed material for each lift by vibration to the dry mass density required to obtain the prescribed value. Scarify the soil surface between lifts. It should be noted that to obtain uniform density, the bottom layers have to be slightly undercompacted, since compaction of each succeeding layer densifies the sand in layers below it. After the last layer is partially compacted, put the top cap in place and continue vibration until the desired dry mass density is obtained.

7.4.4 *Tamping Method*—For this procedure tamp air dry or moist granular or cohesive soil in layers into a mold. The only difference between the tamping method and the vibration method is that each layer is compacted by hand tamping with a compaction foot instead of with a vibrator, refer to reference by Ladd, R. S.⁸

7.4.5 After the specimen has been formed, place the specimen cap in place and seal the specimen with O-rings or rubber bands after placing the membrane ends over the cap and base. Then apply a partial vacuum of 35 kPa [5 psi] to the specimen and remove the forming jacket. If the test confining-pressure is greater than 103 kPa [14.7 psi], a full vacuum may be applied to the specimen in stages prior to removing the jacket.

⁷ Chaney, R., and Mulilis, J., "Suggested Method for Soil Specimen Remolding by Wet-Raining," *Geotechnical Testing Journal*, Vol. 1, No. 2, 1978, pp. 107–108.

⁸ Ladd, R. S., "Preparing Test Specimens Using Under-Compaction," *Geotechnical Testing Journal*, ASTM, Vol 1, No. 1, March, 1978, pp. 16–23.

8. Mounting Specimen

8.1 Variations in specimen setup techniques will be dependent principally on whether the specimen is intact or remolded. If the specimen is intact it will be trimmed and then placed in the triaxial cell. In contrast, if the specimen is remolded it can either be recompacted on or off the bottom platen of the triaxial cell. The determination of which procedure to use will depend on whether the specimen can support itself independent of the latex rubber membrane and if it can undergo limited handling without undergoing disturbance.

8.2 Intact Specimen:

8.2.1 Place the specimen on the bottom platen of the triaxial cell.

8.2.2 Place the top platen on the specimen.

8.2.3 Stretch a latex rubber membrane tightly over the interior surface of the membrane stretcher. Apply a vacuum to the stretcher to force the membrane against the inner surface of the stretcher and then slip the stretcher carefully over the specimen. Remove the vacuum from the membrane stretcher. Roll the membrane off the stretcher onto the top and bottom platen, see **Note 10**.

NOTE 10—The specimen should be enclosed in the rubber membrane and the membrane sealed to the specimen top and bottom platens immediately after the trimming operation to prevent desiccation. Alternatively, lucite plastic dummy top and bottom caps can be used until a triaxial cell is available.

8.2.4 Remove the membrane stretcher.

8.2.5 Place O-ring seals around the top and bottom platens.

8.2.6 Attach top and bottom platen pressure lines to flushing/pressurizing panel.

8.3 Reconstituted Specimen:

8.3.1 *Dense Unsaturated Specimen*—If specimen is compacted in an apparatus separate from the triaxial cell, then treat in a manner similar to that described in **8.2.1 – 8.2.5**.

8.3.2 *All Others*—Specimens that are loose unsaturated, loose saturated or dense saturated, need to be recompacted directly on the lower platen of the triaxial pressure cell. This is required to prevent specimen disturbance.

8.3.2.1 Place the latex rubber membrane on the bottom platen of the triaxial cell.

8.3.2.2 Secure an O-ring over the latex rubber membrane to seal it against the bottom platen.

8.3.2.3 Place a split mold over the bottom platen with the latex rubber membrane extending up through it.

8.3.2.4 Stretch the latex rubber membrane tightly over the interior surface of the split mold (membrane stretcher) and over its top upper lip.

8.3.2.5 Apply a vacuum to the split mold to hold the membrane tightly against the mold during the compaction operation.

8.3.2.6 Compact the specimen within the membrane using any of the techniques described in **7.4**.

8.3.2.7 After the specimen is formed, place the top platen on the specimen and draw the latex rubber membrane up tightly over it.

8.3.2.8 Place an O-ring over the top platen to seal the latex rubber membrane against it.

8.3.2.9 Attach top and bottom platen pressure lines to flushing/pressurizing panel.

8.3.2.10 Remove the split mold. See **Note 11**.

NOTE 11—If the specimen is unable to support itself, it will be necessary to apply a small vacuum through a bubble chamber, see **Fig. 12**. A vacuum less than one half the desired final effective stress is recommended. If bubbles continue to be present in the bubble chamber, check for leakage caused by poor connections, holes in the membrane, or imperfect seals at the top or bottom platens. Leakage through holes in the membrane can frequently be eliminated by coating the surface of the membrane with a rubber latex or by use of a second membrane. If bubbles are absent, an airtight seal has been obtained.

8.3.2.11 Place the cover plate on the tie rods.

8.3.2.12 Insert the loading piston through the seal assembly and connect to the top platen. It is important that the connection of the loading piston to the top platen be tight to eliminate compliance.

8.3.2.13 Place the chamber in position and fasten into position.

8.3.2.14 Place the triaxial pressure cell on the cyclic loading frame.

8.3.2.15 Place chamber fluid into pressure cell.

9. Procedure

9.1 *General*—Because of the wide variety of triaxial equipment currently in use for cyclic soil testing, it is not possible to prescribe a step-by-step testing procedure that is compatible with the characteristics of all equipment. The following procedures, however, will be common to any cyclic triaxial test on either saturated or unsaturated specimens.

9.2 *Specimen Measurement*—Because density greatly influences the cyclic triaxial strength, it is imperative that accurate density determination and volume change measurements be made during saturation and consolidation. Base the initial specimen conditions on measurements taken after the mold is removed (with the specimen under vacuum). Take diameter measurements for specimens up to 150 mm [6 in.] using a circumferential tape to the nearest 0.025 mm [0.001 in.]. For larger specimens measure to nearest 0.25 mm [0.01 in.]. Take height measurements to the nearest 0.025 mm [0.001 in.] for

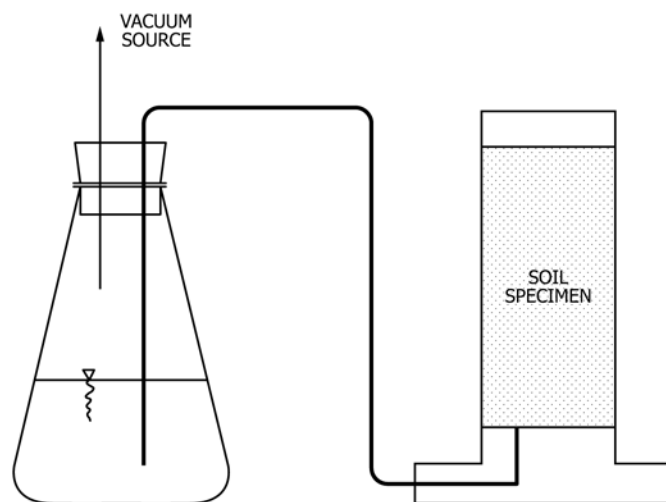


FIG. 12 Method of Applying Vacuum to Soil Specimens

specimens 150 mm [6 in.] or less in diameter and 0.25 mm [0.01 in.] for specimens having diameters greater than 150 mm at four locations, and measure masses to the nearest 0.01 g for specimens 63.5 mm [2.5 in.] or less in diameter and 0.1 g for specimens having diameters greater than 63.5 mm [2.5 in.]. Determine water contents taken of specimens trimmings to within 0.1 % (see Test Methods [D2216](#)).

9.3 Saturation—If it is desired to test the specimen saturated then follow the procedure outlines in this section. If it is desired to test the specimen in an unsaturated condition then proceed to [9.4](#).

The objective of the saturation phase of the test is to fill all voids in the specimen with water without undesirable prestressing of the specimen or allowing the specimen to swell (unless the specimen will swell under the desired effective consolidation stress). Saturation is usually accomplished by applying back pressure to the specimen pore water to drive air into solution after either: applying vacuum to the specimen and dry drainage system (lines, porous discs, pore-pressure device, filter-strips or cage, and discs) and allowing de-aired water to flood the system while maintaining the vacuum; or saturating the drainage system by boiling the porous discs in water and allowing de-aired water to flow through the system prior to mounting the specimen. It should be noted that time is required for air to dissolve into solution. Accordingly, removing as much air as possible from the pore water and system prior to applying back pressure will decrease the amount of air that must dissolve into solution, potentially decreasing the back pressure required for saturation. In addition, air remaining in the specimen and drainage system just prior to applying back pressure will go into solution much more readily if de-aired water is used. Many procedures have been developed to accomplish back pressure saturation. For specimens to be tested under effective consolidation stresses exceeding 103 kPa [14.7 psi], the following procedure has been found to be effective. For specimens requiring consolidation stresses less than 103 kPa [14.7 psi] all the stresses given in [9.3.2 – 9.4](#) must be reduced to a level that will not cause overconsolidation.

9.3.1 Apply the highest available vacuum to the specimen through the specimen cap and after assembling and filling the triaxial chamber with fluid, allow de-aired water to slowly seep through the specimen from the bottom. The upward movement of water should be sufficiently slow to minimize entrapment of possible air pockets and to avoid significant prestressing of the specimen. Also take care to ensure that fines are not washed from the specimen.

9.3.2 When water appears in the burette connected to the specimen cap, fill the remainder of the burette with de-aired water and simultaneously reduce the vacuum and increase the chamber pressure until the specimen pore-water is at atmospheric pressure and the chamber pressure is at least at 103 kPa [14.7 psi]. Back pressure the specimen in steps, maintaining an effective confining stress sufficient to minimize volume changes (swelling) of the specimen during saturation. Isotropic stress conditions may be maintained during back pressuring by adding axial load to the piston according to the procedure

described in [9.4.1](#). Evaluate the degree of saturation at appropriate intervals by measuring Skepton's Pore Water Pressure Parameter *B*.

9.3.3 Measurement of the Pore Pressure Parameter *B*—The Pore Pressure Parameter *B* is defined by the following equation:

$$B = \frac{\Delta u}{\Delta \sigma_3} \quad (2)$$

where:

Δu = the change in the specimen pore water pressure that occurs as a result of a change in the chamber pressure when the specimen drainage valves are closed, and
 $\Delta \sigma_3$ = the change in the chamber pressure.

The value of the Pore Pressure Parameter *B* shall be determined as follows:

9.3.3.1 Close the specimen drainage valves and increase the chamber pressure 35 kPa [5 psi].

NOTE 12—The amount of increase in chamber pressure should be less than the desired effective stress.

9.3.3.2 After approximately 2 min determine and record the maximum value of the induced pore water pressure. For many specimens, the pore water pressure may decrease after the immediate response and then increase slightly with time. If this occurs, values of Δu should be plotted with time and the asymptotic pore water pressure used as the change in pore pressure. A large increase in Δu with time with values of Δu greater than $\Delta \sigma_3$ may indicate a leak of chamber fluid into the specimen. Decreasing values of Δu with time may indicate a leak in that part of the pore pressure measurement system located outside the chamber or incomplete saturation.

9.3.3.3 Calculate the *B* value using [Eq 2](#).

9.3.3.4 Reapply the same confining pressure (chamber pressure minus back pressure) as existed prior to the *B* -value by reducing the chamber pressure by 35 kPa [5 lb/in.²] or by alternatively, increasing the back pressure by 35 kPa [5 lb/in.²]. If *B* is continuing to increase with increasing back pressure continue with back pressure saturation. If *B* is equal to or greater than 0.95 or if a plot of *B* versus back pressure indicates no further increase in *B* with increasing back pressure, initiate consolidation.

9.4 Consolidation—The objective of the consolidation phase of the test is to allow the specimen to reach equilibrium in a drained state under the effective consolidation stress for which a test is required. During consolidation, data is obtained for use in determining when consolidation is complete.

9.4.1 During the consolidation process, measure the change in height of the specimen to the nearest 0.025 mm [0.001 in.]. In addition, during consolidation an axial load must be applied to the piston (that is screwed into the top cap) in order to compensate for the uplift force on the loading piston so that the specimen is maintained in an isotropic or other known state of stress. The static load to maintain an isotropic condition can be calculated from the following equation:

$$P_s = \sigma_3 A_r - M_{rod-platen} \quad (3)$$

where:

- $M_{rod-platen}$ = weight of the loading piston and top platen,
 P_s = static piston correction load,
 σ_3 = cell pressure, and
 A_r = cross sectional area of the loading piston.

9.4.2 With the specimen drainage valves closed, hold the maximum back pressure constant and increase the chamber pressure until the difference between the chamber pressure and the back pressure equals the desired effective consolidation pressure.

NOTE 13—In cases where significant amounts of fines may be washed from the specimen because of high initial hydraulic gradients, it is permissible to gradually increase the chamber pressure to the total desired pressure over a period of up to 10 min with the drainage valves open. If this is done, recording of data should begin immediately after the total pressure is reached.

NOTE 14—In certain circumstances, consolidation in stages may be desirable, especially when radial drainage is used.

9.4.3 Obtain an initial burette reading and then open appropriate drainage valves so that the specimen may drain from both ends into the burette, see 6.5.7. At increasing intervals of elapsed time (0.1, 0.2, 0.5, 1, 2, 4, 8, 15 and 30 min and at 1, 2, 4, and 8 h, etc.) observe and record the burette readings and after the 15-min reading record the accompanying deformation indicator readings obtained by carefully coupling the piston with the specimen cap. If burette and deformation indicator readings are to be plotted against the square root of time, the time intervals at which readings are taken may be adjusted to those that have easily obtained square roots, for example, 0.09, 0.25, 0.49, 1, 4, 9 min, etc. Depending on soil type, time intervals may be changed to convenient time intervals that allow for adequate definition of volume change versus time.

9.4.4 Plot the burette and deformation indicator readings versus either the logarithm or square root of elapsed time. If the readings are plotted versus the logarithm of elapsed time, allow consolidation to continue for at least one log cycle of time or one overnight period after a marked reduction in the slope shows that 100 % primary consolidation has been achieved. If the readings are plotted versus the square root of elapsed time, allow consolidation to continue at least 2 h after 100 % primary consolidation has been achieved. A marked deviation between the slopes of the burette and deformation indicator curves toward the end of consolidation based on deformation indicator readings indicates leakage of fluid from the chamber into the specimen and the test should be terminated.

9.4.5 Determine the time for 50 % primary consolidation, t_{50} , in accordance with one of the procedures outlined in Test Methods D2435.

9.5 *Cyclic Loading or Deformation*—A soil material typically behaves like an elastic solid exhibiting a non-destructive response to the application of cyclic loading below a threshold shearing strain level of $<10^{-2}$ %. Above this strain level the response of the specimen is either elastoplastic or plastic and therefore destructive. The actual threshold strain level is dependent upon the initial stiffness of the specimen. A material that is soft will have a higher threshold strain while a stiff material will have lower threshold strain. To develop a curve of modulus and damping versus strain requires either a series of

specimens be tested each at a specific strain level or a single specimen to undergo staged loading. Staged loading involves the application of progressively increasing levels of either cyclic load or deformation. At each level or stage of cyclic load or deformation perform a test sequence as described in 9.5.1 to 9.5.3. After the operation as described in 9.5.3 has been completed the operator at the direction of the engineer may then either open the specimen drainage valves to re-establish the effective consolidation stress or maintain the existing excess pore water pressure before moving on to the next higher cyclic load or deformation level.

9.5.1 For constant cyclic load (see Test Method A) testing, the first step is to estimate the magnitude of cyclic load. This load will depend on the desired stress ratio, $SR_{desired}$, which is the ratio of the desired deviator stress to double the effective consolidation stress. Accordingly, the magnitude of cyclic load can be estimated with the following equation:

$$P_c = 2 \times \sigma'_{3c} \times SR_{desired} \times A_c \quad (4)$$

where:

- P_c = estimated cyclic load to be applied to the specimen,
 σ'_{3c} = consolidated pressure (chamber pressure minus back pressure),
 $SR_{desired}$ = desired stress ratio ($\pm \sigma_d$)/($2\sigma_{3c}$), and
 A_c = area of specimen after consolidation, see Note 15.

NOTE 15—Refer to 11.2.2 for procedures to calculate A_c .

9.5.2 For constant cyclic deformation (see Test Method B) select a desired single amplitude shear strain (γ_{SA}) and calculate the required axial strain (ϵ_{SA}) by multiplying by 2. Determine the resulting single amplitude deformation using the following:

$$L_{SA} = \epsilon_{SA} \times L_s \quad (5)$$

where:

- L_{SA} = single amplitude deformation, mm [in.],
 ϵ_{SA} = single amplitude axial strain (dimensionless), and
 L_s = length of test specimens, mm [in.].

9.5.3 Form a large air pocket at the top of the triaxial chamber by draining water from the cell without allowing the cell pressure to drop. The air pocket is required so that piston movement in and out of the chamber during cyclic loading or cyclic deformation does not create chamber pressure fluctuations.

9.5.4 Close drainage valves to the specimen to impose undrained conditions, and cyclically load the specimen through 40 cycles with the first half cycle in compression using 0.5 to 1 Hz sinusoidal load or deformation extension values.

9.5.5 During undrained cyclic loading or cyclic deformation keep the cell pressure constant and record the axial load, axial deformation, and the change in pore-water pressure with time.

9.5.6 Under load control soft to medium stiff soils will undergo a permanent deformation. The permanent deformation may be caused typically by either a slightly unbalanced cyclic load (see 9.4). As a result of this compression a plot of load versus deformation (Δ), as shown schematically in Fig. 13 (hysteresis loops), will tend to move along the deformation

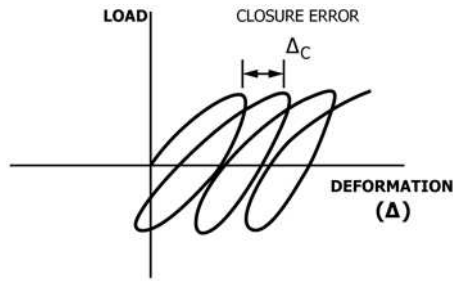


FIG. 13 Definition of Closure Error

axis. Because the determination of the secant Young's modulus and damping coefficient at any strain level depend on the ability to identify a distinct hysteresis loop it is necessary to restrict the maximum closure error (Δ_c) between two successive peaks as shown in Fig. 13 approximately 0.00254 mm [0.0001 in.]. For a specimen with a height of 127 mm [5 in.], this corresponds to an axial strain of 0.2 %. If the closure error exceeds this value the data is not valid.

9.5.7 For staged loading return to either 9.5.1 or 9.5.2, as appropriate, after ensuring dissipation of excess pore water pressures generated during the previous loading stage.

10. Specimen Removal

10.1 Following cyclic testing perform the following steps:

10.1.1 Remove the axial load from the load piston and reduce the chamber and back pressure to zero.

10.1.2 Close specimen drainage valves.

10.1.3 With the specimen drainage valves remaining closed, quickly remove the specimen from the apparatus so that the specimen will not have time to absorb water from the porous disc.

10.1.4 Remove the rubber membrane (and the filter-paper strips or cage from the specimen if they were used) and determine the water content of the total specimen in accordance with the procedure outlined in Test Methods D2216. (Free water remaining on the specimens of cohesive soils after removal of the membrane should be blotted away before obtaining the water content.) In cases where there is insufficient material from trimmings for index property tests, that is, where specimens have the same diameter as the sampling tube, the specimen should be weighed prior to removing material for index property tests and a representative portion of the specimen used to determine its final water content. Prior to placing the specimen (or portion thereof) in the oven to dry, sketch a picture or take a photograph of the specimen.

11. Calculation

11.1 *Initial Specimen Properties*—Using the dry mass of the total specimen, calculate and record on the appropriate data sheet the initial water content, volume of solids, initial void ratio, initial degree of saturation, and initial dry mass density. Calculate the specimen volume from values measured in 6.12. Calculate the volume of solids by dividing the dry mass of the specimen by the specific gravity of the solids and dividing by the density of the water. Calculate void ratio by dividing the volume of voids by the volume of solids where the volume of voids is assumed to be the difference between the specimen

volume and the volume of solids. Calculate dry mass density by dividing the dry mass of the specimen by the specimen volume.

NOTE 16—The specific gravity of solids can be determined in accordance with Test Methods D854 or it may be assumed based on previous test results.

11.2 Specimen Properties after Consolidation:

11.2.1 Calculate the specimen height and area after consolidation as follows:

$$H_c = H_o - \Delta H \quad (6)$$

where:

H_o = initial height of specimen, mm [in.], and

ΔH = change in height of specimen at end of consolidation, mm [in.].

11.2.2 The cross-sectional area of the specimen, after consolidation, A_c , shall be computed using one of the following approaches:

11.2.2.1 *Approach 1*—This approach is used to calculate the cross-sectional area before the test is performed.

$$A_c = \frac{(V_o - \Delta V_{sat} - \Delta V_c)}{H_c} \quad (7)$$

where:

V_o = initial volume of specimen, mm³ [in.³],

ΔV_{sat} = change in volume of specimen during saturation, mm³ [in.³].

$$\Delta V_{sat} = 3 V_o \Delta H_s / H_o$$

where:

ΔH_s = change in height of specimen during saturation, mm [in.], and

ΔV_c = change in volume of specimen during consolidation as indicated by burette readings, mm³ [in.³].

11.2.2.2 *Approach 2*—This approach is used to calculate the cross-sectional area after the test is performed.

$$A_c = \frac{(V_{wf} + V_s)}{H_c} \quad (8)$$

where:

V_{wf} = final volume of water (based on final water content), mm³ [in.³],

V_s = volume of solids, mm³ [in.³],

$$V_s = m_s / (G_s \rho_w) \quad (9)$$

where:

m_s = specimen dry mass, kN [lb],

G_s = specific gravity of solids, and

ρ_w = density of water, mg/m³ [lb/in.³].

11.2.3 Using the calculated dimensions of the specimen after consolidation and assuming that the water content after consolidation is the same as the final water content, calculate the consolidated void ratio and degree of saturation.

NOTE 17—In this test method, the equations are written such that compression and consolidation are considered positive.

11.3 *Hysteresis Loop Calculations*—Calculations are performed on each individual hysteresis loop using the form shown in Fig. 14 or its equivalent.

11.3.1 Calculate the damping coefficient (D) for a given hysteresis loop using the following equation and record these values on the datasheet in Fig. 14:

$$D = \frac{A_L}{4\pi A_T} \times 100\% \quad (10)$$

where:

A_L = area of hysteresis loop,

A_T = area of shaded right triangle shown in Fig. 14, and

D = damping coefficient, %.

11.3.2 Calculate the secant Young's modulus (E) for a given hysteresis loop using the following equation, and record these values on the datasheet in Fig. 14:

$$E = \frac{L_{DA}}{S_{DA}} \times \frac{L_s}{A} \quad (11)$$

where:

L_{DA} = double amplitude load, kN [lb],

S_{DA} = double amplitude deformation, mm [in.],

L_s = height of specimen after consolidation, mm [in.],

A = area of specimen defined using 11.2.2.1 or 11.2.2.2, mm² [in.²], and

E = Secant Young's modulus, kPa [lb/in.²].

11.3.3 Calculate the double amplitude axial strain (ϵ_{DA}) for a given hysteresis loop using the following equation and record this value in Fig. 14:

$$\epsilon_{DA} = \frac{S_{DA}}{L_s} \quad (12)$$

Calculate the single amplitude axial strain (ϵ_{SA}) for a given hysteresis loop using the following equation and record this value in Fig. 14:

$$\epsilon_{SA} = \frac{\epsilon_{DA}}{2} \quad (13)$$

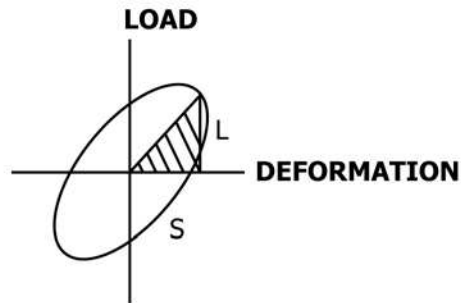
Modulus/Damping Calculations (Cyclic Triaxial)

Test Number _____
Boring Number _____
Sample Number _____
Calculated By _____ Date _____

Run _____
Stroke _____
 L_s _____ mm
 A_s _____ mm²

Damping Coefficient

A_L = Loop Area _____ kN-m
 S _____ m
 L _____ kN
 $A_T = 0.5 \times S \times L$ _____ kN-m
 $D = A_L / (4\pi A_T) \times 100\%$ _____ %



Secant Modulus

L_{DA} _____ kN/mm
 S_{DA} _____ mm
 $E = (L_{DA} / S_{DA} \times L_s / A_s)$ _____ kPa
 μ = Poisson's ratio _____
 $G = E / [2(1 + \mu)]$ _____ kPa

Deformation

$\epsilon_{DA} = S_D / L_s$ _____ mm/mm
 $\epsilon_{SA} = \epsilon_D / 2$ _____ mm/mm
 $\gamma_{SA} = \epsilon_{SA} / (1 + \mu) \times 100\%$ _____ %

FIG. 14 Cyclic Triaxial Modulus/Damping Coefficient Calculation Form

where:

ϵ_{DA} = double amplitude axial strain (dimensionless), and

ϵ_{SA} = single amplitude axial strain (dimensionless).

12. Report

12.1 Report the following information:

12.1.1 Identification data and visual description of specimen, including soil classification in accordance with Practice **D2488** and whether the specimen is intact, or remolded (indicate preparation method). Indicate if any method was employed to reduce end restraint.

12.1.2 Values of plastic limit and liquid limit, if determined in accordance with Test Methods **D4318**.

12.1.3 Value of specific gravity of solids and notation if the value was determined in accordance with Test Methods **D854** or assumed.

12.1.4 Particle-size analysis, if determined in accordance with Test Method **D422**.

12.1.5 Initial specimen dry mass density, void ratio, water content, and degree of saturation.

12.1.6 Initial height and diameter of specimen.

12.1.7 Method followed for specimen saturation (that is, dry or wet method).

12.1.8 Total back pressure.

12.1.9 The Pore Pressure Parameter B at the end of saturation.

12.1.10 Effective consolidation stress.

12.1.11 Time to 50 % primary consolidation.

12.1.12 Time duration of the last consolidation increment.

12.1.13 Specimen dry mass density, void ratio, water content, and degree of saturation after consolidation.

12.1.14 Specimen cross-sectional area after consolidation and method used for determination.

12.1.15 Hysteresis loop for each load or strain level at cycle numbers 1 through 5, 10, 20, and 40.

12.1.16 Plot of the secant Young's modulus E and damping coefficient D versus the logarithm of single amplitude axial strain using data from cycle Number 1 unless requested otherwise.

12.1.17 Sketch or photograph of the specimen after testing.

12.1.18 Remarks and notations regarding any unusual conditions or other information necessary to properly interpret the results obtained, including any departures from the procedure outlined.

13. Precision and Bias

13.1 The variability of soil and resultant inability to determine a true reference value prevent development of a meaningful statement of bias. The subcommittee is seeking pertinent data from users of this test method to establish the precision and bias of this test method.

14. Keywords

14.1 cyclic triaxial; damping coefficient; laboratory test; physical properties; secant modulus

SUMMARY OF CHANGES

Committee D18 has identified the location of selected changes to this standard since the last issue (D3999 – 91 (2003)) that may impact the use of this standard. (Approved Nov. 1, 2011.)

(1) The terms undisturbed and compacted were changed to intact and reconstituted, respectively.

(2) The terms samples and unit weight were replaced with specimen and mass density, as appropriate.

(3) **Fig. 14** was updated, including the addition of a factor of 0.5 into the Equation for A_v .

(4) Units definition added to the scope, and SI units were made the primary units.

(5) The terms damping and modulus were replaced with damping coefficient and secant modulus, respectively.

(6) The figures were reordered to better reflect the steps of the standard.

(7) Required information in the notes were moved to the standard.

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